

## Pauli matrices and spinor

In this article, we will represent the angular momentum operator by matrices. To this end, recall that in the last article we asserted that  $L_+|j, m\rangle$  is proportional to  $|j, m+1\rangle$  while  $L_-|j, m\rangle$  is proportional to  $|j, m-1\rangle$ . Now, let's find the explicit proportionality constant. First, we will define  $|j, m\rangle$ s to be orthonormal as follows:

$$\langle j_1, m_1 | j_2, m_2 \rangle = \delta_{j_1 j_2} \delta_{m_1 m_2} \quad (1)$$

This is possible since  $|j, m\rangle$ s are eigenvectors of Hermitian matrices (i.e.  $L^2$  and  $L_z$ ). Now, we have:

$$\begin{aligned} L_+|j, m\rangle &= c|j, m+1\rangle & (2) \\ \langle j, m | L_- L_+ | j, m \rangle &= \langle j, m+1 | c^* c | j, m+1 \rangle \\ \langle j, m | L^2 - L_z^2 - \hbar L_z | j, m \rangle &= |c|^2 \\ (j(j+1) - m^2 - m)\hbar^2 &= |c|^2 \\ |c| &= \hbar \sqrt{j(j+1) - m(m+1)} & (3) \end{aligned}$$

where from the first line to the second line, we used  $(L_+)^{\dagger} = L_-$  and where from the second line to the third line, we used (18) in last article. Without loss of generality, one can choose  $c$  to be real. In other words,  $c = |c|$ . This is possible by performing global gauge transformation upon the eigenvector  $|j, m+1\rangle$  in (2). Therefore, we conclude:

$$L_+|j, m\rangle = \hbar \sqrt{j(j+1) - m(m+1)} |j, m+1\rangle \quad (4)$$

Similarly, one can show (**Problem 1.**)

$$L_-|j, m\rangle = \hbar \sqrt{j(j+1) - m(m-1)} |j, m-1\rangle \quad (5)$$

Now, let's find the matrix representation of angular momentum, when  $j = 1/2$ . We know that its vector space is 2-dimensional since we have  $|1/2, 1/2\rangle$  and  $|1/2, -1/2\rangle$ . We can represent this by:

$$|1/2, 1/2\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \quad |1/2, -1/2\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix} \quad (6)$$

The first one is called "spin up," and the other is called "spin down." A general state in this vector space can be represented by a linear combination of these two vectors as follows:

$$\psi = \begin{pmatrix} a \\ b \end{pmatrix} \quad (7)$$

This representation called “spinor” is used to express the state vector of spin-1/2 particle such as electron. Now, observe the followings:

$$L_z|1/2, 1/2 \rangle = \frac{\hbar}{2}|1/2, 1/2 \rangle, \quad L_z|1/2, -1/2 \rangle = -\frac{\hbar}{2}|1/2, -1/2 \rangle \quad (8)$$

implies:

$$L_z = \frac{\hbar}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad (9)$$

Similarly,

$$L_+|1/2, 1/2 \rangle = 0, \quad L_+|1/2, -1/2 \rangle = \hbar|1/2, 1/2 \rangle \quad (10)$$

implies:

$$L_+ = \hbar \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix} \quad (11)$$

$$L_-|1/2, 1/2 \rangle = \hbar|1/2, -1/2 \rangle, \quad L_-|1/2, -1/2 \rangle = 0 \quad (12)$$

implies:

$$L_- = \hbar \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \quad (13)$$

Using,  $L_x = (L_+ + L_-)/2$ , and  $L_y = (L_+ - L_-)/(2i)$ , we obtain

$$L_x = \frac{\hbar}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad L_y = \frac{\hbar}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \quad (14)$$

If we define  $\sigma$  by  $\vec{L} = (\hbar/2)\vec{\sigma}$ , we conclude:

$$\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad (15)$$

These are called “Pauli matrices.”

(6) are eigenvectors of  $L_z$ . What are the eigenvectors of  $L_x$  and  $L_y$ ? Using (14), one can check that

$$\alpha_x = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}, \quad \beta_x = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix} \quad (16)$$

are eigenvectors of  $L_x$  with eigenvalues  $\hbar/2$ ,  $-\hbar/2$  respectively, and

$$\alpha_y = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ i \end{pmatrix}, \quad \beta_y = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -i \end{pmatrix} \quad (17)$$

are eigenvectors of  $L_x$  with eigenvalues  $\hbar/2$ ,  $-\hbar/2$  respectively.

All the constructions in this article were based on 3 spatial dimensional cases; we used  $x, y, z$  and  $p_x, p_y, p_z$ . We showed that this led to spinor having two components. In 1928, Dirac found “Dirac matrices” which are 4-dimensional (“4d”) analogs of Pauli matrices. There, the spinor has four components. In 10d or 11d, in which string theory and M-theory

live respectively, the spinor has thirty-two components. All this would be interesting to talk about more in details, but this is out of scope for this series unfortunately.

Finally, let me conclude this article with a comment. One can take similar steps as in  $j = 1/2$  case to calculate the angular momentum matrices for other  $j$ . (Of course, we are talking about 3d case as before.) For example, for  $j = 1$ , we obtain:

$$L_x = \frac{\hbar}{\sqrt{2}} \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix} \quad (18)$$

$$L_y = \frac{\hbar}{\sqrt{2}} \begin{pmatrix} 0 & -i & 0 \\ i & 0 & -i \\ 0 & i & 0 \end{pmatrix} \quad (19)$$

$$L_z = \frac{\hbar}{2} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & -1 \end{pmatrix} \quad (20)$$

**Problem 2.** Suppose, an electron is in an eigenstate of  $L_z$  with eigenvalue  $-\hbar/2$ . What is the probability that its  $L_x$  will be  $\hbar/2$  if you measure it?

**Problem 3.** Check (18), (19) and (20).

**Problem 4.** So far we have considered the angular momentum along  $x$ ,  $y$  or  $z$  axis. More generally, we can consider the angular momentum along an arbitrary direction  $\hat{r}$  given as follows using spherical coordinate system:

$$\hat{r} = \sin \theta \cos \phi \hat{i} + \sin \theta \sin \phi \hat{j} + \cos \theta \hat{k} \quad (21)$$

Show that the eigenspinors of angular momentum along  $\hat{r}$  with eigenvalues respectively  $\hbar/2$  and  $-\hbar/2$  are given as follows:

$$\alpha_{\hat{r}} = \begin{pmatrix} \cos(\theta/2) \\ e^{i\phi} \sin(\theta/2) \end{pmatrix}, \quad \beta_{\hat{r}} = \begin{pmatrix} e^{-i\phi} \sin(\theta/2) \\ -\cos(\theta/2) \end{pmatrix} \quad (22)$$

For example, for  $L_z$  we have  $\theta = 0$  and  $\phi = 0$ , so we obtain (6). For  $L_x$ , we have  $\theta = \pi/2$  and  $\phi = 0$ , so we obtain (16). For  $L_y$ , we have  $\theta = \pi/2$  and  $\phi = \pi/2$ , so we obtain (17) upto overall phase. (If  $\vec{v}$  is a normalized eigenvector,  $e^{i\lambda}\vec{v}$  is also a normalized eigenvector with the same eigenvalue. Here  $e^{i\lambda}$  is the overall phase with  $\lambda$  being real.)(Hint<sup>1</sup>)

## Summary

- $|1/2, 1/2\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ ,  $|1/2, -1/2\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$
- The first one is called “spin up” and the other one is called “spin down.”

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<sup>1</sup>The angular momentum along the direction  $\hat{r}$  is given by  $\hat{L} \cdot \hat{r}$  where  $\hat{L} = L_x \hat{i} + L_y \hat{j} + L_z \hat{k}$ .

- These two-dimensional space is called “spinor.”

- $\vec{L} = (\hbar/2)\vec{\sigma}$ .  $\sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$