

$SU(2)$ Lie group and Lie algebra

As mentioned in an earlier article, “What is a gauge theory?,” $SU(N)$ is the group of $N \times N$ unitary matrices with determinant 1. In this article, we will focus on the $SU(2)$ group and its Lie algebra, as it is the simplest non-Abelian group and plays a pivotal role in loop quantum gravity.

Now, let’s try to describe U , an element of $SU(2)$, explicitly as follows.

$$U = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \quad (1)$$

Since a unitary matrix satisfies $U^\dagger = U^{-1}$, we have:

$$\begin{pmatrix} a^* & c^* \\ b^* & d^* \end{pmatrix} = \frac{1}{\det U} \begin{pmatrix} d & -b \\ -c & a \end{pmatrix} \quad (2)$$

Recalling that $\det U = 1$, we conclude $a^* = d$, $b^* = -c$. Therefore, we have:

$$U = \begin{pmatrix} a & b \\ -b^* & a^* \end{pmatrix} \quad (3)$$

$$\det U = aa^* + bb^* = |a|^2 + |b|^2 = 1 \quad (4)$$

Now, it is clear that the identity matrix I satisfies the conditions given in (3) and (4):

$$I = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \in SU(2) \quad (5)$$

Let’s see what the $SU(2)$ group looks like around the identity matrix. To this end, let

$$a = 1 + \delta\theta_a \quad (6)$$

$$b = 0 + \delta\theta_b \quad (7)$$

where a and b are defined in (3). From (4), we have:

$$\begin{aligned} (1 + \delta\theta_a)(1 + \delta\theta_a^*) + \delta\theta_b\delta\theta_b^* &= 1 \\ 1 + \delta\theta_a + \delta\theta_a^* + O(\delta\theta^2) &= 1 \end{aligned} \quad (8)$$

Since $O(\delta\theta^2)$ can be ignored when $\delta\theta$ is small, we conclude:

$$\delta\theta_a = -\delta\theta_a^* \quad (9)$$

which implies that $\delta\theta_a$ is purely imaginary. We also see that there is no restriction for $\delta\theta_b$. Therefore, we can write:

$$\delta\theta_a = \frac{i\delta\theta_3}{2} \quad (10)$$

$$\delta\theta_b = \frac{i\delta\theta_1}{2} + \frac{\delta\theta_2}{2} \quad (11)$$

for some real $\delta\theta_1$, $\delta\theta_2$, and $\delta\theta_3$. Here the factor $1/2$ is included in the interest of convenience. Then, around the identity matrix, we can write U as:

$$\begin{aligned} U &= \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} + \begin{pmatrix} 0 & \frac{i\delta\theta_1}{2} \\ \frac{i\delta\theta_1}{2} & 0 \end{pmatrix} + \begin{pmatrix} 0 & \frac{\delta\theta_2}{2} \\ -\frac{\delta\theta_2}{2} & 0 \end{pmatrix} + \begin{pmatrix} \frac{i\delta\theta_3}{2} & 0 \\ 0 & -\frac{i\delta\theta_3}{2} \end{pmatrix} \\ &= 1 + i\frac{\sigma^1}{2}\delta\theta_1 + i\frac{\sigma^2}{2}\delta\theta_2 + i\frac{\sigma^3}{2}\delta\theta_3 \\ &= 1 + i\frac{\vec{\sigma}}{2} \cdot \vec{\theta} \end{aligned} \quad (12)$$

where the σ 's are the Pauli matrices. In fact, we can successively apply U 's of this form around I to reach other elements of $SU(2)$; we can build up the infinitesimal generators into a finite one. In other words, any element of $SU(2)$ can be expressed in the following form for some finite $\vec{\theta}$:

$$\lim_{N \rightarrow \infty} \left(1 + i\frac{\vec{\sigma}}{2} \cdot \frac{\vec{\theta}}{N} \right)^N = e^{i\vec{\theta} \cdot \frac{\vec{\sigma}}{2}} \quad (13)$$

This equation can be checked by expanding the exponential in a Taylor series and comparing each power of $\vec{\theta}$ with the corresponding one on the lefthand side. In any case, the 2×2 matrix so obtained can be shown to satisfy (3) and (4)

Summarizing, we say the $SU(2)$ Lie group is ‘‘generated’’ by the Pauli matrices, which satisfy:

$$\left[\frac{\sigma^i}{2}, \frac{\sigma^j}{2} \right] = i\epsilon^{ijk} \frac{\sigma^k}{2} \quad (14)$$

where we have used the Einstein-summation convention for the repeated index, and ϵ^{ijk} is the Levi-Civita symbol. Using slightly, different notation, we can reexpress the above equation as follows:

$$[T^i, T^j] = i\epsilon^{ijk} T^k \quad (15)$$

This defines the $SU(2)$ Lie algebra. For a general Lie algebra, we can write:

$$[T^i, T^j] = if^{ijk} T^k \quad (16)$$

where the f 's are called the structure constants and the T 's are called the generators.

In fact, it is known that in a Lie group all we need to know about the generators are their commutation relations. For example, one will never need to know how the products $T^i T^j$ and $T^j T^i$ can be expressed individually by other generators; the expression for their

difference, namely $T^i T^j - T^j T^i$, suffices. This is so for the following reason. If we evaluate the product of two elements of a Lie group e^A, e^B as follows we get:

$$e^A e^B = e^{A+B+\delta} \quad (17)$$

where δ is obtained from the commutators of A and B as follows.

$$\delta = \frac{1}{2}[A, B] + \frac{1}{12}([A, [A, B]] + [[A, B], B]) + \frac{1}{24}[[A, [A, B]], B] + \dots \quad (18)$$

This expression comes from the Baker-Campbell-Hausdorff theorem. Therefore, we see that a suitable basis for A and B is a set of T 's whose commutators we know.

Finally, let us mention the Jacobi identity:

$$[T^i, [T^j, T^k]] + [T^j, [T^k, T^i]] + [T^k, [T^i, T^j]] = 0 \quad (19)$$

One can prove this by explicit calculations as follows:

$$\begin{aligned} [T^i, T^j T^k - T^k T^j] + [T^j, T^k T^i - T^i T^k] + [T^k, T^i T^j - T^j T^i] &= 0 \\ T^i(T^j T^k - T^k T^j) - (T^j T^k - T^k T^j)T^i + \dots &= 0 \end{aligned} \quad (20)$$

The Jacobi identity gives a consistency condition for the structure constants, as follows:

$$\begin{aligned} [T^i, i f^{jkl} T^l] + [T^j, i f^{kil} T^l] + [T^k, i f^{ijl} T^l] &= 0 \\ f^{jkl} f^{ilm} + f^{kil} f^{jlm} + f^{ijl} f^{klm} &= 0 \end{aligned} \quad (21)$$

Summary

- In the neighborhood of the identity matrix, an $SU(2)$ matrix is of the form

$$U = 1 + i \frac{\vec{\sigma}}{2} \cdot \delta \vec{\theta}$$

- More generally, an $SU(2)$ matrix is of the form

$$\lim_{N \rightarrow \infty} \left(1 + i \frac{\vec{\sigma}}{2} \cdot \frac{\vec{\theta}}{N} \right)^N = e^{i \vec{\theta} \cdot \frac{\vec{\sigma}}{2}}$$

- In such a case, we say $SU(2)$ Lie group is “generated” by the Pauli matrices which satisfy

$$\left[\frac{\sigma^i}{2}, \frac{\sigma^j}{2} \right] = i \epsilon^{ijk} \frac{\sigma^k}{2}$$

- More generally, a Lie Group is generated by Lie algebra, which satisfies

$$[T^i, T^j] = i f^{ijk} T^k$$

where the f 's are called the structure constants and the T 's are called the generators.

- Knowing such a commutator relation of Lie algebra is enough to generate a Lie group
- The Jacobi identity is given by

$$[T^i, [T^j, T^k]] + [T^j, [T^k, T^i]] + [T^k, [T^i, T^j]] = 0$$

which gives relations between the structure constants.